

# A Qualia-based Description of Specialized Knowledge Units in the Lexical-Constructional Model

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## Abstract

The EcoLexicon is a frame-based knowledge base on the environment. The information it contains is coherently structured within a prototypical domain event, the Environmental Event (EE). At an intra- and intercategory level, a closed inventory of relations has been defined that relates concepts to each other as well as to the EE. This knowledge base will be the basis for a formal domain ontology which will serve computational purposes, enhance searches and allow for automatic information extraction. The combination of theoretical premises from Frame-Based Terminology, the Generative Lexicon and the Lexical-Constructional Model provides a streamlined formalism that may bring us one step closer to a formal ontology.

## 1 Introduction

EcoLexicon is a frame-based multilingual knowledge resource on the environment. In its construction great care has been taken to develop an internally coherent system. At a macrostructural level, all knowledge extracted from a specialized domain corpus has been organized in a frame-like structure or prototypical domain event, namely, the Environmental Event (see Figure 1; Faber, 2007; León *et al.*, 2008; Reimerink and Faber, 2009). The conceptual categories defined at this generic level are the broadest categories where all the concepts of the environmental domain can be included. The EE is conceptualized as a dynamic PROCESS that is initiated by an AGENT (either natural or human). This PROCESS,

affects a specific kind of PATIENT (an environmental entity), and produces a RESULT. These macro-categories (AGENT, PROCESS, PATIENT, etc.) are the concept roles characteristic of this specialized domain, which is clearly process-oriented. Additionally, there are peripheral categories which include INSTRUMENTS that are typically used during the EE, as well as a category where the concepts of measurement, analysis, and description of the processes in the main event are included.

Since this knowledge base provides the foundation for an incipient linguistic ontology, the next logical step would be to convert the information in the knowledge base into a real domain ontology. This controlled knowledge structure would serve computational purposes, enhance searches and allow for automatic information extraction.

The first phase in this conversion is to find an elegant formalism capable of expressing the information in such a way that a computer can make sense of it. The formalism proposed in this paper is based on a combination of Frame-Based Terminology (FBT; Faber *et al.*, 2005; Faber *et al.*, 2007; Faber *et al.*, 2008), the Generative Lexicon (GL; Pustejovsky, 1995; Pustejovsky *et al.*, 2006), and the Lexical-Constructional Model (LCM; Ruiz de Mendoza and Mairal, 2006, 2007; Mairal and Ruiz de Mendoza, 2008).

In section 2 we explain how Pustejovsky's qualia are applied to the conceptual relations in EcoLexicon. Section 3 gives a short summary of the LCM and its application of qualia. Section 4 explains how the LCM formalism could be applied to specialized knowledge units.

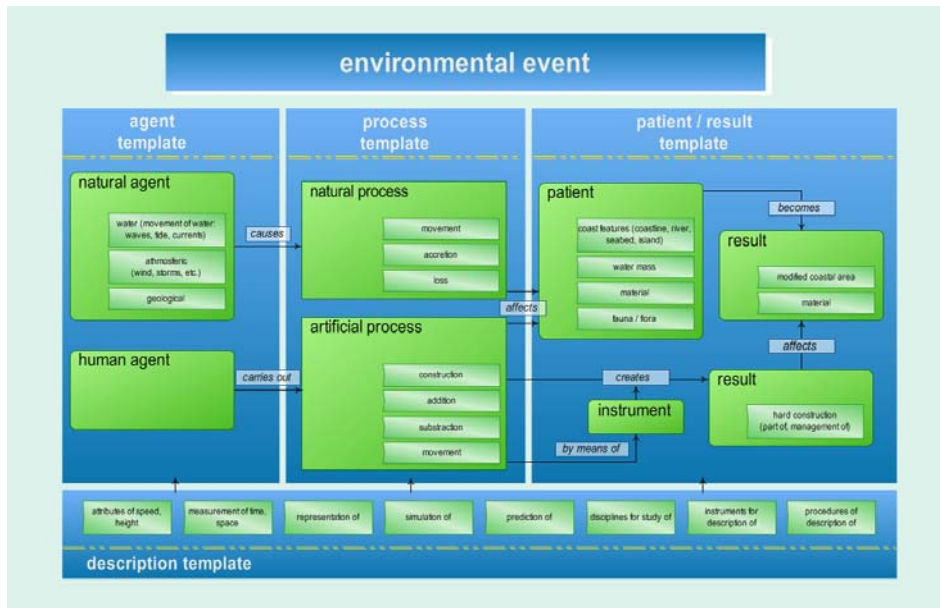


Figure 1. Environmental Event.

## 2 EcoLexicon and the Generative Lexicon

Pustejovsky and his colleagues define the Generative Lexicon (GL) as a theory of linguistic semantics which focuses on the distributed nature of compositionality in natural language and attempts to spread the semantic load across all constituents of an utterance (Pustejovsky, 1995; Lenci *et al.*, 2000; Pustejovsky *et al.*, 2006; Rumshisky *et al.*, 2006). GL describes lexical items according to their qualia structure, which constitutes the necessary modes of explanation for understanding a word or a phrase. It expresses the componential aspect of a word's meaning and is considered the meeting point of both argument and event structure. This is composed of the following roles:

1. Formal role: the basic type distinguishing the meaning of a word;
2. Constitutive role: the relation between an object and its constituent parts;
3. Telic role: the purpose or function of the object, if there is one;
4. Agentive role: the factors involved in the object's origins or "coming into being" (Pustejovsky *et al.*, 2006: 3).

GL and qualia structure have been successfully applied to the SIMPLE ontology, where an extended version of the qualia structure was developed (Lenci *et al.*, 2000) and in the creation of the Brandeis Semantic Ontology (BSO; Pustejovsky *et al.*, 2006). In the BSO, the computa-

tional resources available to a lexical item consist of four levels: Lexical Typing Structure; Argument Structure; Event Structure; and Qualia Structure. The BSO designates three major types: entity, event, and property. Each of these is in turn divided into three hierarchies: natural, artificial, and complex:

1. Natural types: natural kind concepts with only Formal and Constitutive qualia roles;
2. Artifacts types: concepts with purpose, function, or origin.
3. Complex types: concepts integrating reference to a relation between types. (Pustejovsky *et al.*, 2006: 1).

In the construction of EcoLexicon, conceptual relations are associated with a particular qualia role, depending on each concept type. As a result, the macrostructure and microstructure of all concepts in the domain are represented in terms of these possible combinations (see Figure 2). The construction of the knowledge resource thus turns into a highly consistent and coherent process.

The most recurrent concepts of the domain (physical objects and processes) are the ones that can be linked to others through a greater number of relations. However, there are also certain relations exclusive of a single type, such as ATTRIBUTE\_OF, for properties, and STUDY (for sciences and disciplines). For natural physical object types, apart from the relations traditionally linked to formal and constitutive

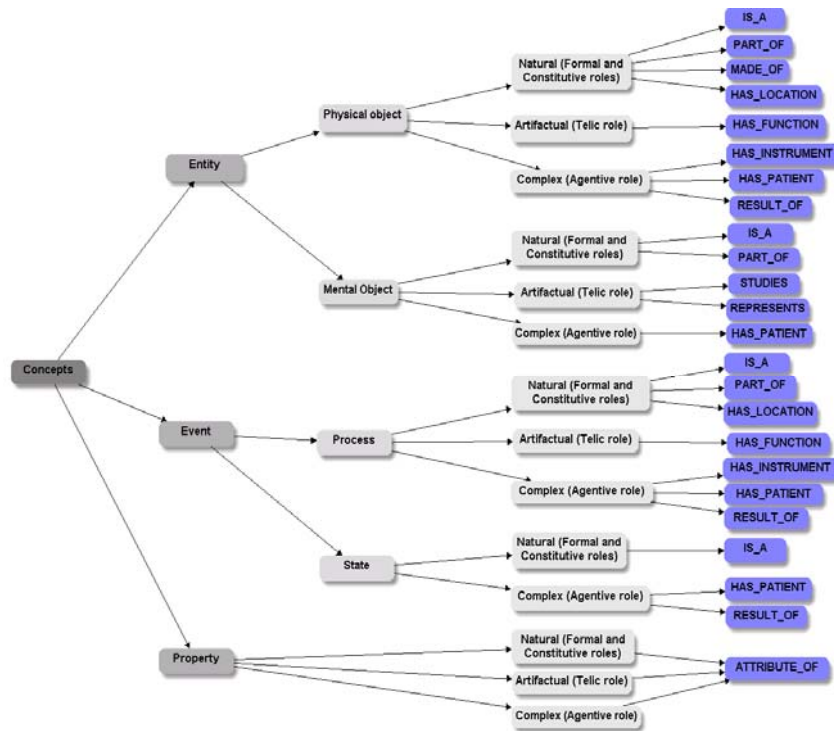


Figure 2. Combination of the concept typology and conceptual relations with Pustejovsky's qualia roles.

roles, two non-hierarchical relations have been added. The conceptual relations, HAS\_LOCATION and MADE\_OF, are necessary in the description of entities. The material that an object is made of or its location are key properties of subordinate concepts, and can even be the most essential feature. For instance, a GROYNE is not a groyne if it is not located in the sea.

The notion of *qualia* is also applied to the definitions of specialized environmental concepts in our knowledge base. Qualia make the knowledge base systematic both in macrostructure (the event) as well as microstructure (concept definitions).

In this respect, all definitions in EcoLexicon are based on a series of general templates for the description of generic concepts. For example, even though a PROCESS can activate all the relations shown in Figure 2, the prototypical definitional structure is constrained. A NATURAL PROCESS only activates the formal role, since this is the minimum information needed for description (see Figure 3). In contrast, an ARTIFICIAL PROCESS activates both the formal quale (the action itself) and the constitutive quale since artificial processes are generally composed of several steps or actions (see Figure 4). Furthermore, an artificial process always has a purpose (telic quale) and in certain engineering operations, an instrument may be used, which would also add

the agentive role. All the information contained in these templates was extracted from a specialized domain corpus created for EcoLexicon.

<b>NATURAL PROCESS:</b> A succession of actions that happen or take place	
	<ul style="list-style-type: none"> <li>▪ FORMAL ROLE</li> </ul>

Figure 3. Definitional template of NATURAL PROCESS.

<b>ARTIFICIAL PROCESS:</b> A succession of actions and steps carried out for a specific purpose	
	<ul style="list-style-type: none"> <li>▪ FORMAL ROLE</li> <li>▪ CONSTITUTIVE ROLE</li> <li>▪ TELIC ROLE</li> </ul>

Figure 4. Definitional template of ARTIFICIAL PROCESS.

To explain how qualia structure is used to describe specific environmental processes, we will analyze the examples of EROSION and DREDGING. The definitions of EROSION and DREDGING can be segmented in terms of their qualia structure, and are derived from the general process template, although new quales can be activated, depending on their specificity. For example a natural process may be initiated by an agent in the form of a natural force.

In the definitional template in Figure 5, EROSION is described as a natural process by which material is worn away from the earth's surface.

EROSION		
FORMAL	[IS_A]	Natural process of reduction
AGENTIVE	[HAS_AGENT]	Gravity Water <ul style="list-style-type: none"> <li>➤ River</li> <li>➤ Stream</li> <li>➤ Rain</li> </ul> Ice <ul style="list-style-type: none"> <li>➤ Glacier</li> </ul> Wind Animals
	[HAS_PATIENT]	Earth's surface <ul style="list-style-type: none"> <li>➤ Beaches</li> <li>➤ Mountains</li> <li>➤ Soil</li> <li>➤ ...</li> </ul>

Figure 5. Qualia roles and definitional template of EROSION.

As all natural processes, EROSION does not have a function and therefore the telic quale is not part of its template. This is what differentiates natural and artificial processes. The template shows all the possible agents of erosion. This does not mean that all these agents have to be present in the process; the process involves at least one of them and can involve several. In the subtypes of EROSION, such as SHEET EROSION, SPLASH EROSION, MASS WASTING, SLUMPING, etc., the specific agent involved is specified. All these subtypes follow the same template mapping back to the same formal quale although with different values. The process generally is of long duration, and consists of iterative sub-events. For example, the wind has to blow for a very long time and on repeated occasions in order to erode a cliff face. Since the process affects the entire surface of the Earth, Patient and Location coincide. Notwithstanding, certain contexts refer to a specific Patient that is part of a bigger area, which can thus be considered the Location. This Location, however, is not specified in the definition, since the Patient dimension is more relevant.

As shown in Figure 6, the definitional template of the artificial process of DREDGING includes information regarding the action carried out, its phases as well as the instrument used, and its purpose.

The formal role includes two conceptual relations: IS\_A and HAS\_LOCATION. The IS\_A rela-

tion expresses category membership, and the HAS\_LOCATION relation, where the process takes place. DREDGING takes place underwater, but more specifically, it can occur under the water of rivers, canals, harbors, or offshore.

DREDGING		
FORMAL	[IS_A]	Artificial process of subtraction: removal
	[HAS_LOCATION]	Underwater <ul style="list-style-type: none"> <li>➤ Rivers</li> <li>➤ Canals</li> <li>➤ Harbors</li> </ul>
CONSTITUTIVE	[HAS_PART]	Pumping Excavation Piping Material placement <ul style="list-style-type: none"> <li>➤ Sand placement</li> </ul>
TELIC	[HAS_FUNCTION]	Construction Maintenance of water depths Beach nourishment
AGENTIVE	[HAS_PATIENT]	Material <ul style="list-style-type: none"> <li>➤ Sand</li> </ul>
	[HAS_INSTRUMENT]	Dredger

Figure 6: Qualia roles and definitional template of DREDGING.

These concepts are thus subordinate to underwater. The constitutive role reflects the phases of the dredging process. The last step, material placement, has a subordinate concept, SAND PLACEMENT, which restricts information as the context becomes more focalized. The same is true for the relation HAS\_PATIENT in the agentive role. For example, in beach nourishment contexts, the material dredged can only be sand. The agentive role also includes the HAS\_INSTRUMENT relation, since the dredger is one of the participants in the event, and in fact is the one that makes the process possible. Finally, the telic role expresses the three possible functions of DREDGING in three contexts with different degrees of specificity.

### 3 Lexical-Constructional Model and Qualia

According to Ruiz de Mendoza and Mairal (2007) and Mairal and Ruiz de Mendoza (2008), the Lexical-Constructional Model (LCM) provides a comprehensive description of the full inventory of parameters involved in meaning construction (idem, 2008: 137). This means that

it is intended to be operational at all levels of linguistic description, including pragmatics and discourse. The authors provide a four level catalogue of construction types:

1. Constructions producing core grammar characterizations.
2. Constructions accounting for heavily conventionalized situation-based lower-level meaning implications.
3. Constructions that account for conventionalized illocutionary meaning.
4. Constructions based on very schematic discourse structures (Mairal and Ruiz de Mendoza, 2008: 138).

Level 1, called the argument module, is the result of the interaction between a lexical template and a constructional template. The lexical templates consist of three components:

1. A semantic component, which provides a set of primes (i.e. a set of basic terms or primitives that can be used to define the subordinate concepts in the same category).
2. A syntactic component, which consists of a series of lexical functions based on Mel'cuk's Explanatory and Combinatorial Lexicology (Mel'cuk *et al.*, 1995) that describe how the primes combine and define the whole set of predicates that converge within a lexical class (Ruiz de Mendoza and Mairal, 2007: 34).
3. A formalism to represent the combination of the semantic and syntactic components based on the logical structures of Role and Reference Grammar (Van Valin and LaPolla, 1997; Van Valin 2005), enriched with the semantic component.

Constructional templates use part of the same metalanguage as lexical templates because constructions are an abstraction of what is common to a number of lexical items. Level 2 accounts for aspects of linguistic communication. Level 3 deals with the traditional illocutionary force. Finally, level 4 describes the discourse aspects of the LCM.

Recently, the LCM has incorporated Pustejovsky's qualia in their lexical templates to streamline the lexical description for future computational applications of the LCM (Mairal and Ruiz de Mendoza, 2008). The LCM basic representational format of a lexical template is based on a more systematic representation of the *Aktionsart* distinctions proposed in Vendler (1967), and the decompositional system is a variant of the one proposed in Dowty (1979):

**predicate:** [SEMANTIC MODULE<lexical functions>] [AKTIONSART MODULE<semantic primes>]

Specifically, the lexical template of change of state verbs is the following:

**predicate:** [**do'** (x, e<sub>1</sub>)]<sub>E1</sub> CAUSE [BECOME/INGR **pred'** (y)]<sub>E2</sub>

However, after reconverting the inventory of lexical functions by incorporating Pustejovsky's qualia, the lexical template of change of state verbs looks like this:

**predicate:**  
 EVENTSTR: [**do'** (x, e<sub>1</sub>)]<sub>E1</sub> CAUSE  
 [BECOME/INGR **pred'**(y)]<sub>E2</sub>  
 QUALIASTR: {QF: **MANNER pred'** (y)}  
 QA: e<sub>1</sub>: **Oper** x, z <Instr>}

According to Ruiz de Mendoza and Mairal (2008: 367), change of state verbs (e.g. *break*, *smash*, *shatter*) are causative telic predicates; their event structure involves an activity and a final resulting state modified by a telic operator (BECOME or INGR). The state predicate is part of the formal qualia characterization of all change of state verbs. The semantic specificities of each predicate within the lexical class are expressed with the specific values ascribed to the semantic function MANNER. The causing activity event maps onto the agentive quale, as it expresses what is done by the Agent (x) in order to cause the Patient (y) to end up in the resulting state. The subevent e<sub>1</sub> in the Agent quale describes the use of an instrument (z) by the Agent (x). The lexical function **Oper** is a semantically empty verb that will have different values depending on its arguments.

Finally, the lexical template of *break* is as follows:

**break:**  
 EVENTSTR: **do'** (x, Ø)] CAUSE [BECOME/INGR *broken'* (y)]  
 QUALIASTR: {QF: *broken'* (y)}  
 QA: **do'** (x, *break\_act'*)}

#### 4 EcoLexicon, LCM and Specialized Lexical Units

So far, LCM has only dealt with verbs, whose templates are based on formalisms developed for several categories such as EXISTENCE, COGNITION, CHANGE OF STATE, CAUSED-MOTION, etc. Ruiz de Mendoza and Mairal (2007: 34) are

aware they have to expand their research to other grammatical categories. In the following section, we explore how the LCM can be applied to verbs as well as nouns in the specialized domain of environmental science.

As explained in section 2, our definitions are based on templates. However, for ontology construction these templates must be converted into something more restricted such as the formalism, proposed in LCM.

Since the LCM has focused on verb meaning, our first attempt is to create a formalism for the verbs *dredge* and *erode*, two examples of caused-motion and change of state verbs, respectively, which are the most recurrent categories in the environmental domain. Then, we try to apply the LCM to nouns (*dredging* and *erosion*), both of which denote processes and involve the same entailments expressed by verbs.

#### 4.1 Caused-motion: the case of DREDGING, dredge and dredging

*Dredge* is a clear example of a caused-motion verb, as it implies the movement of material (usually sand) from one place to another. Actually it is the change of location phenomenon what characterizes this construction. Ruiz de Mendoza and Mairal (2007: 38) give the following lexical template for caused-motion verbs:

**predicate: do'** (x, [pred' (x, y)]) CAUSE [BECOME NOT **be-in'** (y, z)]

This means that an Agent (x) causes an object (y) not to be in a place (z). The following sentence, extracted from our corpus, illustrates this basic template:

a. Many of the sediments (y) in tidal inlets (z) are dredged by hopper dredges (x).

In (a) the argument (x) is filled with the instrument used in dredging operations. However, that argument is ultimately a human being, which is not necessarily mentioned in real texts. This is why in our corpus the argument structure is often restricted to Patient and Location, which is the core meaning of the verb (see Figure 7).

A settling basin/trap may be dredged at the entrance of the channel using relict sands (dredged from the seafloor at a depth of 10 m). Silts and carbonates, have been dredged from the walls and floors and further treated - usually by dredging up offshore sand and placing it on the beach. Some nourishment sand was dredged from the entrance channel and placed on the beach. Some nourishment sand is dredged from waters owned by the state. The sediment thickness of 3 m was dredged from the central part of the beach. The material was dredged from New York Harbor (Mairal et al. 2007: 100).

Figure 7. Patient, Location and *dredge*.

Sometimes either the Patient or the Location is not explicitly mentioned in the text. The following examples only activate one argument (y or z):

1904-1905 a channel was dredged through the pass but was not completed. The Southern Channel was dredged in 1995, but the present amount of material to be dredged, relatively shallow water here sediment source is dredged. In addition to concerns about the study area was dredged in 1995), by comparing the volume of sediment that was dredged for the initial placement of beach nourishment was dredged and placed on the beach by (Mairal et al. 2007: 100).

Figure 8. Patient or Location and *dredge*.

A combination of the above information with the qualia structure and the template of caused-motion verbs can be designed as shown in Figure 9, where the formal role of *dredge* maps onto the template of its hyponym, the more basic motion verb, *remove*. The agentive role, apart from expressing the change of location notion, includes the instrument used through a lexical function (INSTR). In addition, the verb *dredge* implies the accomplishment of several phases expressed by the verbs, *excavate*, *pump*, *pipe* and *place*. These phases take place at different times and are conveyed by verbs belonging to different paradigms. They are included by means of the lexical function INVOLV (Faber and Mairal, 2005: 29).

At the same time, in order to contextualize lexical templates in our specialized domain, arguments x, y and z are all filled with specialized concepts. In this way, their argument structure is also a part of the lexical meaning of specialized terms.

#### **dredge:**

EVENTSTR: **do'** [x, (**pred'** (x, y))]E1 CAUSE [BECOME NOT **be-in'** (y, z)]E2

QUALIASTR: {QF: REMOVE **dredged** (y)

QC: INVOLVE excavate, pump, pipe, place (y)

QA: BECOME NOT **be-in** (z),

INSTR (x)}

x = dredger, human being

y = material, sand

z = underwater, offshore, river, tidal inlet, harbour, channels

Figure 9. Lexical template of *dredge*.

However, in the noun *dredging*, collocates show new information that matches some of the definitional dimensions of Figure 6 (see Figure 10).

to harbour facilities by dredging nourishment materials in (Mairal et al. 2007: 100). Main reasons for dredging include: Increasing / remote sources. Offshore dredging can provide a good source for nourishment purposes. In Delaware, dredging is also used for obtaining sand as a coastal structure, dredging of sediment for naviga (Mairal et al. 2007: 100).

Figure 10. *Dredging*.



*break* or *reduce*. Firstly, the formal quale must convey the MANNER in which the change in the Patient comes about. From the list of lexical functions provided by Faber and Mairal (2005: 29), the following seem applicable to the case of *erode*: CONT, continuity/duration and DEGRAD, to get worse.

In *erode*, the manipulation subevent (e1), does not apply because *erode* is a natural process. Metaphorically speaking, we could say for example that the RAIN (Agent) uses GRAVITY (Instrument) to bring about a change in the EARTH'S SURFACE (Patient), but this kind of manipulation event seems to be more applicable to artificial processes, such as *dredge* and *dredging*. What is important in the first event (E1) is that the action implies a long time and a continuous process. Rain must fall on a rock for a long time for it to erode. In E2, the state of the Patient (y) changes in a specific way, namely, it diminishes or the Agent degrades the affected entity.

Another thing that must be taken into account is that the argument fillers x and y (Agent and Patient) cannot just be anything. The specialized domain in which the process EROSION, and therefore the verb *erode*, is included, restricts the possibilities. The possible Agents for *erode* are: WIND, WATER, ICE, GRAVITY and ANIMALS, and all their subordinates. The Patient of *erode* is the EARTH'S SURFACE and all its subordinates.

As in *dredge*, this additional information should be included in the formalism. On the other hand, based on the fact that many of the possible Agents and Patients will also be applicable to other verbs of the Environmental domain, a list of possible Agents and Patients could be linked to the basic template of change of state verbs to avoid redundancy. This means that specialized terms should fill different arguments at the higher level of abstraction where they can occur. As a result, all verbs belonging to the same paradigm are able to activate the same arguments or their subtypes.

As for the application of LCM to nouns, it must be highlighted that the semantic information contained in *erode* and *erosion* is the same for both lexical items, the concept EROSION. The possible Agents and Patients involved in its argument structure are the same as well, but only conceptually speaking. In the case of this procedural noun, the only thing that has to be done is to clarify in the formalism that it is not the expression of a verb, but of a noun (see Figure 15).

**erosion:**

GRAMTAG: noun  
 EVENTSTR: [**do'** (x, Ø)]<sub>E1</sub> CAUSE [BECOME **reduced'**(y)]<sub>E2</sub>  
 QUALIASTR: {QF: DEGRAD **reduced'** (y)  
 QA: CONT E1}

x = wind, water, ice, gravity, animals  
 y = Earth's surface

Figure 15. Lexical template of *erosion*.

However, although arguments (x, y) are the same from a semantic perspective, they do not have the same syntactic behaviour. For example, in the case of the verb, Agents will only occur in the form of a subject. However, in the case of the noun, Agents and even Patients can be codified in different ways, as in *aeolic erosion* or *beach erosion*.

On the other hand, *sheet erosion* is a type of erosion where raindrops detach soil particles of the Earth's surface. The formalism of sheet erosion would therefore contain the specification of the Agent (see Figure 16).

**sheet erosion:**

GRAMTAG: noun  
 EVENTSTR: [**do'** (x, Ø)]<sub>E1</sub> CAUSE [BECOME **eroded'**(y)]<sub>E2</sub>  
 QUALIASTR: {QF: DEGRAD **eroded'** (y)  
 QA: CONT E1}

x = rain  
 y = Earth's surface

Figure 16. Lexical template of *sheet erosion*.

## 5 Conclusions

The combination of Frame-Based Terminology, Pustejovsky's qualia and the premises of the Lexical-Constructional Model can bring us closer to the construction of a formal domain ontology. The coherence and consistency of the information contained in EcoLexicon provides a sound basis for the development of a formalism. Pustejovsky's qualia have proved to be very useful for streamlining the information in our domain-specific knowledge base and for the lexical templates of the LCM. We have shown a possible way to apply both qualia and LCM formalisms to the description of specialized knowledge. For now, we have analyzed some verbs and nouns that denote processes, which is the most important category in our domain. We are aware, however, that a lot remains to be done. Further research will be necessary to find out if the formalism can be applied to all the verbs and nouns that denote processes and to other conceptual and grammatical categories.



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